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Dated: August 28, 2006

Signature:

Laurie Brown
(Laurie Brown)

Docket No.: 65902(70551)
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Keiji Sakai et al.

Application No.: 10/586,021

Confirmation No.: N/A

Filed: July 14, 2006

Art Unit: N/A

For: OPTICAL INTEGRATED UNIT
INCLUDING HOLOGRAM ELEMENT AND
OPTICAL PICKUP DEVICE

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed within three months of the U.S. filing date (37 CFR 1.97(b)(1)).


A summary/abstract translation of the non-English language references is enclosed. Also enclosed is a partial English translation of Japanese Publication No. 10-214431. It is noted that Reference AA, U.S. Patent No. 6,822,771, claims partial priority to Reference BC, Japanese Application No. 2003-33876.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 65902(70551). A duplicate copy of this paper is enclosed.

Dated: August 28, 2006

Respectfully submitted,

By 

Peter J. Manus

Registration No.: 26,766

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PTO/SB/08a/b (07-06)

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/586,021
				Filing Date	July 14, 2006
				First Named Inventor	Keiji Sakai
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	65902(70551)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA*	US-6,822,771	11-23-2004	Funato et al.	
	AB*	US-5,703,856	12-30-1997	Hayashi et al.	
	AC*	US-5,912,868	06-15-1999	Hayashi et al.	
	AD*	US-6,366,548	04-02-2002	Ohyama	
	AE*	US-2003/0072047 A1	04-17-2003	Funato et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	JP-10-214431	08-11-1998	Matsushita Electric Ind Co Ltd		✓
	BB	JP-2001-266396	09-28-2001	Alps Electric Co Ltd		✓
	BC	JP-2003-338076	11-28-2003	Ricoh Co Ltd		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Application No. (if known): 10/586,021

Attorney Docket No.: 65902(70551)

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IDS (Citation) by Applicant (8 References) (1 page)